



PATENT APPLICATION

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Alistair Andrew FINNIE

Group Art Unit: 1713

Application No.: 10/563,129

Filed: January 31, 2006

Docket No.: 126449

For: SILYL ESTER COPOLYMER COMPOSITIONS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. Relevance of one or more non-English language reference is discussed in the present specification. See Reference 15.
- 3. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- 4. Reference 5 corresponds to European Patent Application No. 02255612.0, which is discussed in the present specification.

Respectfully submitted,

William P. Berridge
Registration No. 30,024

John W. Fitzpatrick
Registration No. 41,018

WPB:JWF/crh

Date: May 12, 2006

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

**DEPOSIT ACCOUNT USE
AUTHORIZATION**
Please grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461



ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.H./

Sheet 1 of 1

| | | | |
|---|---|-------------------------------------|-------------------------------|
| Form PTO-1449 (REV. 1/06) | US Dept. of Commerce PATENT & TRADEMARK OFFICE | ATTY DOCKET NO. 126449 | APPLICATION NO. 10/563,129 |
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | APPLICANT Alistair Andrew FINNIE | |
| | | FILING DATE January 31, 2006 | GROUP 1713 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number | Date | Name | | |
|-------------------|----------|-----------------|------------|----------------|--|--|
| | 1 | 5,436,284 | 07/25/1995 | HONDA et al. | | |
| | 2 | 4,593,055 | 06/03/1986 | GITLITZ et al. | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number | Date | Country | With English Abstract | With English Translation |
|-------------------|----------|-------------------|------------|---------------|-----------------------|--------------------------|
| | 3 | GB 2 311 070 A | 09/17/1997 | GREAT BRITAIN | | |
| | 4 | GB 1 457 590 | 12/08/1976 | GREAT BRITAIN | | |
| | 5 | WO 2004/018533 A1 | 03/04/2004 | WIPO | | |
| | 6 | WO 02/02698 A1 | 01/10/2002 | WIPO | | |
| | 7 | WO 00/77102 A1 | 12/21/2000 | WIPO | | |
| | 8 | WO 00/43460 | 07/27/2000 | WIPO | | |
| | 9 | WO 99/37723 | 07/29/1999 | WIPO | | |
| | 10 | EP 0 779 304 B1 | 06/18/1997 | EUROPE | | |
| | 11 | EP 0 775 733 A1 | 05/28/1997 | EUROPE | | |
| | 12 | EP 0 529 693 B1 | 03/03/1993 | EUROPE | | |
| | 13 | EP 0 342 276 B1 | 11/23/1989 | EUROPE | | |
| | 14 | EP 0 297 505 B1 | 01/04/1989 | EUROPE | | |
| | 15 | EP 1 273 589 B1 | 01/08/2003 | EUROPE | | |
| | 16 | EP 0 204 456 A1 | 12/10/1986 | EUROPE | | |

OTHER DOCUMENTS

| | | |
|-------------------|----------|--|
| Examiner Initials | Cite No. | (Including Author, Title, Date, Pertinent Pages, etc.) |
| | | |
| | | |
| | | |

EXAMINER /Robert Harlan/ DATE CONSIDERED 03/27/2009

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Attn: OIPE

Alistair Andrew FINNIE

Group Art Unit: 1713

Application No.: 10/563,129

Docket No.: 126449

Filed: January 31, 2006

For: SILYL ESTER COPOLYMER COMPOSITIONS

REQUEST FOR CORRECTION OF PALM RECORDS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,

William F. Berridge
Registration No. 30,024

John W. Fitzpatrick
Registration No. 41,018

WPB:JWF/crh

Date: May 12, 2006

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

**DEPOSIT ACCOUNT USE
AUTHORIZATION**
Please grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461

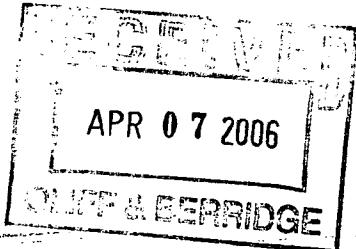


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|----------------|----------|----------|----------|
| 10/563,129 | 01/31/2006 | 1713 | 1030 | 126449 | | 12 | 2 |

25944
 OLIFF & BERRIDGE, PLC
 P.O. BOX 19928
 ALEXANDRIA, VA 22320



FILING RECEIPT



OC000000018389300

Date Mailed: 04/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alistair Andrew Finnie, *Whitley Bay*, UNITED KINGDOM;

Whitley Bay, Tyne and Wear

Assignment For Published Patent Application

AKZO NOBEL COATINGS INTERNATIONAL B.V., BM *Arnhem*, NETHERLANDS

Arnhem

Power of Attorney: The patent practitioners associated with Customer Number 25944.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/07301 06/29/2004

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 03254297.9 07/07/2003

If Required, Foreign Filing License Granted: 03/26/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/563,129**

Projected Publication Date: 07/06/2006

Non-Publication Request: No

Early Publication Request: No

Title

Silyl ester copolymer compositions

Preliminary Class

562

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).